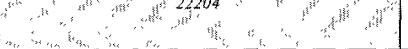
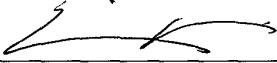




**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))



<p><b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents</p>		Attorney Docket No.	740756-2413		
		First Inventor		Yasuhiko TAKEMURA et al	
		Title	SEMICONDUCTOR DEVICE AND A MANUFACTURING METHOD FOR THE SAME		
		Express Mail Label No.			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 25] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications (if applicable)</li> <li>- Statement Regarding Fed sponsored R &amp; D (if applicable)</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix (if applicable)</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Figs. 1-11D [Total Sheets 12] Oath or Declaration [Total Pages 2]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>5. <input checked="" type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p>		ADDRESS TO:	Commissioner for Patents Box Patent Application Washington, DC 20231		
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> <li>1. <input type="checkbox"/> CD-ROM or CD-R (2 copies, or</li> <li>11. <input type="checkbox"/> paper</li> </ul> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>		<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other Notice of Change of Name and Address</p>			
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional of prior application No 09/311,067 filed May 13, 1999, which itself is a Divisional of U.S. Serial No. 08/966,975, filed November 10, 1997 now U.S. Patent No. 5,945,711, which itself is a Divisional of U.S. Serial No., 08/313,910 filed September 28, 1994 now U.S. Patent No : 5,719,065</p>					
Prior application information		Examiner M. Prenty	Art Unit 2822		
<p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>					
<b>19. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label  22204		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below			
Name	Eric J. Robinson				
Address	Nixon Peabody LLP 8180 Greensboro Road Suite #800				
City	Mclean	State	Virginia	Zip Code 22102	
Country		Telephone	(703) 790-9110	Fax (703) 883-0370	
Name (Print/Type)	Eric J. Robinson	Registration No (Attorney/Agent)		#38,285	
Signature			Date	12-28-01	

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 740.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	December 28, 2001
First Named Inventor	Yasuhiko TAKEMURA et al
Examiner Name	M. Prenty
Group Art Unit	2822
Attorney Docket No	740756-2413

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number  
19-2380 (740756-2413)

Deposit Account Name  
Nixon Peabody LLP

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$ 740.00)

### 2. EXTRA CLAIM FEES

Extra Claims			Fee from below	Fee Paid
Total Claims	1	-20**	= 0	X = <input type="text"/>
Independent Claims	1	-3**	= 0	X = <input type="text"/>
Multiple Dependent				= <input type="text"/>

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)

\*\*or number previously paid, if greater, For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	<input type="text"/>
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	<input type="text"/>
139	130	139	130	Non-English transaction	<input type="text"/>
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="text"/>
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55	Extension for reply within first month	<input type="text"/>
116	400	200	200	Extension for reply within second month	<input type="text"/>
117	920	460	460	Extension for reply within third month	<input type="text"/>
118	1,440	720	720	Extension for reply within fourth month	<input type="text"/>
128	1,960	228	980	Extension for reply within fifth month	<input type="text"/>
119	320	219	160	Notice of Appeal	<input type="text"/>
120	320	220	160	Filing a brief in support of an appeal	<input type="text"/>
121	280	221	140	Request for oral hearing	<input type="text"/>
138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55	Petition to revive – unavoidable	<input type="text"/>
141	1,280	241	640	Petition to revive – unintentional	<input type="text"/>
142	1,280	242	640	Utility issue fee (or reissue)	<input type="text"/>
143	460	243	230	Design issue fee	<input type="text"/>
144	620	244	310	Plant issue fee	<input type="text"/>
122	130	122	130	Petitions to the Commissioner	<input type="text"/>
123	50	123	50	Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126	180	126	180	Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	740	249	370	For each additional invention to be examined (37 CFR § 1.29(b))	<input type="text"/>
179	740	279	370	Request for Continued Examination (RCE)	<input type="text"/>
169	900	169	900	Request for expedited examination of a design application	<input type="text"/>
Other fee (specify) _____					<input type="text"/>

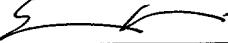
\* Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** (\$)

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on \_\_\_\_\_

Name \_\_\_\_\_

Complete (if applicable)

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Eric J. Robinson	Registration No (Attorney/Agent)	#38,285	Telephone	(703) 790,9110
Signature				Date	12-28-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the New **Divisional** Application of )  
Yasuhiko TAKEMURA et al ) Art Group: 2822  
Based on US Serial No.: 09/311,067 ) Examiner: M. Prenty  
Which was filed: May 13, 1999 )  
For: SEMICONDUCTOR DEVICE AND A METHOD ) Date: December 28, 2001  
FOR MANUFACTURING THE SAME )

NOTICE OF CHANGE OF ADDRESS  
and  
NOTICE OF CHANGE OF NAME

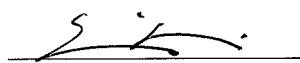
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Effective immediately, please note that the address and the firm name of the attorney of record in the above-referenced application has been changed. Please direct all future correspondence to:

NIXON PEABODY LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
Telephone (703) 790-9110  
Facsimile (703) 883-0370

Respectfully submitted,

  
Eric J. Robinson  
Registration No.: 38,285

NIXON PEABODY LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102

EJR/sas